

839-856
17MY-7007



Nixon & Vanderhye P.C. (10/99)
(Domestic Assigned) Page 1

RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

THERMAL BARRIER COATING

the specification of which (check applicable box(es)):

☐ is attached hereto
☒ was filed on July 31, 2001 as U.S. Application Serial No. 09/917,796 (Atty. Dkt. No. 839-856)
☐ was filed as PCT International application No. on
and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number

Country

Day/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number

Date/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below.

Prior U.S./PCT Application(s):

Application Serial No.

Day/Month/Year Filed

Status: patented
pending, abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all communications in this matter be directed to:

NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000.

Inventor's Signature:

Inventor:

Residence: (city)

Mailing Address:

(Zip Code)

Jon
(first)

C.
MI

Schaeffer
(last)

(state/country) South Carolina

5 Sunning Hill Road, Greenville, South Carolina

29681

Date:

10/30/01
USA
(citizenship)

2.

Inventor's Signature:

Inventor:

Residence: (city)

Mailing Address:

(Zip Code)

Robert
(first)

W.
MI

Bruce
(last)

(state/country) Ohio

221 Woodcrest Drive, Loveland, Ohio

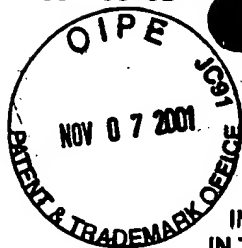
45140

Date:

USA
(citizenship)

☐ **See attached sheet(s) for additional inventor(s) information!!**

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THERMAL BARRIER COATING

the specification of which (check applicable box(es)):

- ☐ is attached hereto
☒ was filed on July 31, 2001 as U.S. Application Serial No. 09/917,786 (Atty. Dkt. No. 839-856)
☐ was filed as PCT International application No. _____ on _____
 and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

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Application Number

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1. Inventor's Signature:

Inventor:

Jon C. Schaeffer Date: _____
 (first) MI (last) USA
 (citizenship)
 Residence: (city) Greenville (state/country) South Carolina
 Mailing Address: 5 Sunning Hill Road, Greenville, South Carolina
 (Zip Code) 29681

2. Inventor's Signature:

Inventor:

Robert W. Bruce Date: 11/6/76
 (first) MI (last) USA
 (citizenship)
 Residence: (city) Loveland (state/country) Ohio
 Mailing Address: 221 Woodcrest Drive, Loveland, Ohio
 (Zip Code) 45140

☐ See attached sheet(s) for additional inventor(s) information!!

17MY-7007

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Jon C. Schaeffer and Robert W. Bruce of 5 Sunning Hill Road, Greenville, South Carolina 29681 and 221 Woodcrest Drive, Loveland, Ohio 45140, respectively, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in **THERMAL BARRIER COATING** invented by us, as described in the application for United States Patent, which was filed on July 31, 2001 as Serial Number: 09/917,796

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Date_____
Jon C. Schaeffer

Witnessed by:

Name: _____ Date: _____

Name: _____ Date: _____

Post-It™ brand fax transmittal memo 7871		# of pages > 3
To Linda Patton	From Rob Bruce	
Co.	Co.	
Dept.	Phone #	
Fax #	Fax #	

STATE OF New York)

ss):

COUNTY OF Schenectady)


This _____ day of _____, 2001, before me personally came the above-named, Jon C. Schaeffer, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____

11/07/01

Date



Robert W. Bruce

Witnessed by:

Name: _____ Date: _____

Name: _____ Date: _____

STATE OF New York)

ss):

COUNTY OF Schenectady)

This _____ day of _____, 2001, before me personally came the above-named, Robert W. Bruce, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____